10/019314
JC1 Rec'd PCT/PTO 09 JAN 2002

SECURED DOCUMENT, SYSTEM FOR MANUFACTURING SAME AND SYSTEM FOR READING THIS DOCUMENT

The invention relates to a secured document, a process and a system making it possible to manufacture this document and means making it possible to read it.

It is now relatively easy for forgers to reproduce conventional documents such as passports, identity card, identification badges.

The object of the invention is to make it difficult, or even virtually impossible, to reproduce and/or falsify such documents.

Securing documents (identity cards, passports, etc.) against counterfeiting and falsification is a fundamental problem which arises with all those involved in the field.

The restrictions which bear on the securing methods are stringent. This is because these documents must be made with:

- a very low production cost (a few tens of centimes per document)
- a short duration for the process allowed for the manufacture of each document (a few seconds per document)
- a high level of protection against counterfeiting.

Generally, the object of the invention is to produce, on the document to be protected, a characteristic hologram which is difficult to copy and moreover which carries printed data in a conventional manner on the document. Thus a verifying agent will be able to check the document by comparing the content of the hologram with the content of the rest of the document. For

example, the hologram represents an image of the identity photo contained on the document. Comparing the image of the hologram with the image of the photo makes it possible to authenticate the document quickly and easily.

Processes for securing documents, for example by hologram, are known from documents US-A-4 171 864, EP-A-0 283 233, GB-A-2 254 166, US-A-3 894 756 and US-A-5 483 363, but these known processes do not offer strengthened security against counterfeiting.

The invention therefore relates to a document comprising at least one drawing or data, made by deposition or inclusion of pigments or dyes which can be read optically, and a hologram made from a copy of said drawing or of said data, characterized in that the hologram comprises deformations introduced during its recording which makes the copy read from the drawing or said data different.

The invention also relates to a document security system, comprising a prerecorded or electrically controllable optical modulator in which the image of at least part of the document is recorded, said modulator being designed to be combined with a layer of photosensitive material, at least one first light source making it possible to transmit a first reference wave to the layer of photosensitive material and a second incident wave onto said modulator and giving rise to a third object wave which is transmitted to the layer of photosensitive material in order to interfere with the reference wave in this layer, characterized in that it comprises in the path of the first wave or of the second, means inducing scrambling in the hologram recorded in the layer of photosensitive material.

The invention also relates to a system for reading a document comprising a hologram containing an image of part of said document, said image being scrambled, characterized in that it comprises a device for correcting said scramblings, or revealer, said hologram being readable through the revealer.

The various objects and characteristics of the invention will become more clearly apparent in the following description given by way of example and in the appended figures which represent:

- figures 1a and 1b, examples of documents secured according to the invention;
- figures 2 to 4c, examples of hologram recording systems according to the invention;
- figures 5 and 6, recording systems making it possible to induce scramblings in the images recorded in the holograms;
- figures 7 and 8, systems making it possible to read a hologram by removing scramblings contained in the hologram;
- figures 9 and 10, a device for reading a document furnished with a hologram and making it possible to remove scramblings induced in the hologram;
- figures 11 and 12, documents incorporating special gratings placed against the holograms.

An example of a document secured according to the invention will first of all be described with reference to figure 1a. By way of example, figure 1 shows an identity card 1 comprising data 4 indicating the identity of the possessor of the card, his or her photograph 2 and his or her signature 5. Furthermore, according to the invention, the card comprises a hologram 3 in which one or more elements for personalizing the card have, *inter alia*, been recorded, such as the photograph, the signature, etc. In figure

1, the photograph has been chosen for recording in the hologram. A card of this type would thus be more difficult to falsify since the hologram contains data for personalizing the card, which will change from one card to another.

Figure 1b shows a variant embodiment of figure 1a. According to this variant the hologram 3 contains images which appear in different planes. An image 60 may be, for example in the case of an identity card, a copy of the identity photo 2, whilst another image 61 may be either a standard image (logo) or other data from the card such as the copy of the signature 5.
According to another variant (not shown), the hologram may also comprise data printed on its surface.
A first example of the process of recording the hologram will now be described with reference to figure 2.

A support element 22 is coated on one of its faces with a layer of photosensitive material 21. An optical modulator 23, such as a transparent photographic support or a controllable modulator such as a liquid-crystal screen, is applied near to or in contact with the layer of photosensitive material.

Preferably, the modulator makes it possible to supply the contents (data or image) of part of the document to be secured. If this involves a prerecorded modulator of the transparency type, this part of the document is recorded in the modulator. If this involves a controllable modulator, the part of the document can be displayed using the control of the modulator.

The support element 22 is transparent at the recording wavelength. A mirror 24 is placed on the side opposite the photosensitive layer with respect to the modulator

23. A light beam R supplied by a source S1 and carrying a coherent wave illuminates the support element 22. By way of example, in figure 2, this light beam is perpendicular to the plane of the support element 22 and of the photosensitive layer 21. The beam R passes through the support 22, the holography layer 21. It is modulated by the modulator 23 and is transmitted to the mirror 24. The latter reflects the modulated beam which passes back through the modulator. According to this embodiment, the mirror 24 is perpendicular to the direction of the beam and reflects a beam colinearly with the incident beam. The reflected modulated beam interferes with the beam R in the layer of photosensitive material. The modulation carried by the modulated beam is therefore recorded in the photosensitive layer. Thus, a hologram containing data or an image, which is a copy of data or of an image contained in the document to be secured, has been recorded. If the modulator 23 contains, for example, the photograph of the possessor of the identity card, this photo has therefore been recorded in the hologram.

In this process, it is assumed that T is the transmission coefficient of the modulator and that O is the wave illuminating the hologram on the side opposite the beam R, which we will call reference wave R hereinafter.

The function recorded by the hologram comes from the interference between the reference wave R and the wave $O \sim R^{*}T$, the object wave from the transparency.

The hologram records $R(R^{*}T^{2})^{*}$ i.e. RRT^{2}.

If the hologram is thick, it will rediffract on reading the recorded image, for specified angles of incidence (Bragg effect) of the illumination wave and of the

viewing direction.

The modulator can integrate a scattering function for improved reading of the hologram and in order to complicate any counterfeiting.

Figure 3 shows another recording process in which two waves illuminating the photosensitive layer are needed. The light modulator 23 is placed against the layer of photosensitive material 21 borne by the support 22.

An illuminating reference wave R supplied by the source S1 is transmitted to the layer of photosensitive material 21 without passing through the modulator. An illumination wave I supplied by the source S2 illuminates the modulator 23 and is transmitted therethrough to the layer of photosensitive material (illumination wave O, O = IT). The two waves R and O interfere in the photosensitive material 21. Preferably, the two waves O and R are counterpropagating and are perpendicular to the plane of the layer of photosensitive material 21. Also, preferably, the two waves are coherent plane waves.

The function recorded by the hologram comes from interference between R, reference wave, and the object wave coming from the modulator illuminated by the plane wave I: O = IT.

The hologram records $R^*.I.T$.

The thick hologram reilluminated by a plane wave R will diffract an image proportional to IT, that is to say, the image of the transparency, provided that I is of the plane wave type, like R.

As in figure 2, the modulator 23 of figure 3 can be

integrated into a scattering function. For example, a layer of scattering material will be deposited on the face of the modulator located on the side of the layer of photosensitive material.

In the above, the recording of the hologram 21 has been carried out by placing thereagainst an optical modulator. It is also possible to design a recording system in which the modulator is illuminated by a wave I, which is modulated by the modulator and which supplies a wave O, as is shown in figure 4a. An optical device 80 images the modulator in the plane of the hologram. Moreover, a beam-splitter plate 81 placed in the path of the wave O makes it possible to transmit a reference wave R to the photosensitive medium 21. This reference wave interferes with the wave O and makes it possible to record the image supplied by the modulator 23. The rereading of such a hologram requires placing a mirror against the support 22 on the side opposite the hologram 21.

Figures 4b and 4c represent variants of recording systems in which the modulator is not placed against the hologram. As can be seen in figure 4b, the modulator 23 is illuminated by the wave I and is projected by the optic 80, onto the surface of the layer of photosensitive material 21 (wave O = IT). Moreover, the layer of photosensitive material is illuminated by a reference wave R incident on this layer from the side opposite the modulator. The two waves O and R interfere in the photosensitive layer in order to record a hologram corresponding to the display of the modulator 23. As is known in the prior art, the waves O and R are preferably coherent.

Figure 4c shows the system of figure 4b in which one or more additional light modulators 27, 28 have been

provided. These modulators are not placed in the same plane as the modulator 23. These modulators will make it possible to record, in the photosensitive layer of the holograms which will not appear in the same plane as the image of the modulator 23.

Preferably, the images of the various modulators will be recorded separately. The image of the modulator 23 will be recorded when the modulators 27 and 28 are transparent or in the absence of these modulators. In order to record the image of an additional modulator, 27 for example, the modulator 23 will be made transparent (or removed) possibly together with the modulator 28.

It should be noted that the various holograms recorded using the various modulators can be recorded using different wavelengths insofar as the nature of the photosensitive layer 21 allows it. These holograms will then be reread using these various wavelengths.

The variant of figure 4c making it possible to record visible holograms in different planes is also applicable to the systems of figures 2 and 3 by also providing one or more additional modulators on the path of one of the waves I or R.

These additional modulators will make it possible to display either a specific motif (logo) or data (a signature for example) of the document to be secured.

In order to induce a scattering effect and/or aberrations in the hologram, the means inducing the scattering and/or the aberration can be placed either in the path of the wave O or in the path of the wave R.

Figures 5 and 6 show variants of the systems of figures

2 and 3, respectively, in which optical aberrator devices are provided.

Thus, in figure 5, an aberrator 25 is positioned, for example, on the reference wave R. An aberrator introduces a local phase φ into the wave R, that is to say, it transforms it into $R.e^{i\varphi}$.

The modulator is also illuminated by $R.e^{i\varphi}$ and the object wave O is $O = R^{*}.e^{-i\varphi}T^{2}$. The hologram records $R(.R^{*}e^{i\varphi}T^{2})^{*}$, i.e. $RRe^{2i\varphi}T^{2}$.

In reading mode, illuminated by R^{*}, it diffracts a wave $e^{2i\varphi}RT^{2}$ deformed with respect to RT^{2}. The image appears scrambled to the observer. The observer can read normally only a deformed image. The deformation undergone by the image is twice that coming from the aberrator.

If the aberrator 25 is located on the modulator side with respect to the layer of photosensitive material, the hologram records $R^{*}.R.T^{2}.e^{2i\varphi}$. This case is similar to the case of recording 2 waves treated below.

In figure 6, the system is illuminated by two distinct waves I and R supplied by sources S1 and S2 as in figure 3.

The aberrator is positioned, for example, in the path of the wave R. The aberrator introduces a local phase φ into the wave R, that is to say, it transforms it into $R.e^{i\varphi}$.

The transparency is illuminated by a plane wave I. We therefore have $O = IT$.

The hologram records $R^{*}.e^{i\varphi}\,IT$.

In reading, reilluminated by R, it diffracts a wave $e^{i\varphi}$ IT deformed with respect to IT. The image appears scrambled to the observer, as above.

The deformation undergone by the image is the same as that coming from the aberrator.

Under these conditions, in order to be able to reread the images recorded using the systems of figures 5 and 6, it is necessary to correct the aberrations introduced by the aberrator.

For this purpose, according to the invention, an aberration-correcting device 27, which we will call a revealer 27, is placed (figure 7) in front of the hologram thus recorded, through which it is possible to read the hologram corrected of the aberrations.

The revealer induces a phase function the inverse of that of the aberrator, that is $e^{-i\varphi}$, on the read wave.

The wave incident on the hologram is $Re^{-i\varphi}$. Since the hologram has recorded $R.Re^{2i\varphi}T^2$, it diffracts a wave proportional to $T^2.e^{i\varphi}$ then passes back through the revealer which again induces $e^{-i\varphi}$ and thus the light wave coming from the revealer is proportional to T, that is to say corresponds to the undeformed image.

Therefore, with a recording such as that of figure 6, (aberrator on the reference wave side), the aberrator induces φ, the revealer must induce $-\varphi$.

Where the aberrator has been positioned on the modulator side, the revealer is determined as in the two-wave case described below.

According to figure 8, the revealer induces a phase function of $e^{-i\varphi/2}$ on the read wave.

The wave incident on the hologram is $R.e^{i\varphi/2}$. Since the hologram has recorded $R^{*}ie^{i\varphi}T$, it diffracts a wave proportional to $T.e^{i\varphi/2}$ then passes back through the revealer which again induces $e^{-i\varphi/2}$ and thus the light wave coming from the revealer is proportional to IT, that is to say it corresponds to the undeformed image.

Therefore with 2 wave recording: the aberrator induces φ and the revealer must induce $-\varphi/2$.

In order that the positioning of the revealer is not too critical, the aberrator must be chosen so as to noticeably modify the view of the image but with phase defect spatial frequencies on the scale of the positioning accuracy tolerated.

1°/ 1 or 2 wave recording can be carried out with any incident waves. The benefit is, *inter alia*, to separate the direction of observing the recorded image from the specular reflection. This configuration substantially improves the image contrast.

2°/ In figure 2, a nonspecular mirror can be used in recording so as to modify the angle of incidence of the object wave with respect to that of the reference wave, namely to separate the direction of observing the photo from the specular reflection.

3°/ The aberrator and the revealer are two different phase functions. It is possible to use the same component for both functions by reilluminating the hologram not with R but with R*. In this case, the phase function recorded in the hologram is transformed

into its conjugate by diffraction and self-corrects by passing through this same phase law. This is the principle of phase conjugation.

A hologram of this sort is thus made difficult to counterfeit by the presence of the aberrator during recording:

- knowledge of the correct aberration function to be used is not easy to acquire. This analysis can be made difficult by superimposing a scattering function on the diffracted function;
- on the assumption that the aberrant function to be used during recording has been determined, the practical construction of a known phase law aberrator is not easy.

Figure 9 shows a device for reading a document furnished with a hologram recorded with aberrations. This device comprises a revealer 27 which must be accurately positioned with respect to the hologram in order to allow sufficient correction of the aberrations. According to figure 8, the document which bears the hologram or the hologram support comprises positioning means such as notches 30 to 33. The read device comprises lugs or pads 40 to 43, the complement of the notches, so that the document is placed correctly in front of the revealer.

According to a variant embodiment (figure 10), instead of notches, the document may comprise optical marks 34